

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

ANDREA BARTZ and KIRK WALLACE
JOHNSON, individually, and ANDREA
BARTZ, INC., CHARLES GRAEBER, and
MJ + KJ, INC., individually and as
representatives of the class,

Plaintiffs,

v.

ANTHROPIC PBC,

Defendant.

Case No. 3:24-cv-05417-AMO

**ORDER GRANTING FINAL APPROVAL
OF CLASS ACTION SETTLEMENT;
GRANTING IN PART MOTION FOR
ATTORNEY'S FEES, REIMBURSEMENT
OF EXPENSES, AND PLAINTIFF
SERVICE AWARDS; JUDGMENT**

Re: Dkt. Nos. 505, 619

Before the Court are Plaintiffs Andrea Bartz, Inc.'s, Charles Graeber's, and MJ + KJ Inc.'s Motion for Final Approval of Class Action Settlement and Motion for Attorney's Fees, Reimbursement of Expenses, and Plaintiff Service Awards. The motions were heard before this Court on May 14, 2026. Having read the papers filed by the parties and carefully considered the arguments therein and those made at the hearing, as well as the relevant legal authority and the submissions from fifty-four class members and putative class members filed with respect to the Settlement, and good cause appearing, the Court hereby **GRANTS** the motion for final approval, and **GRANTS IN PART** the motion for attorney's fees, for the reasons set forth below.

I. DISCUSSION

The Court first analyzes whether final approval of the Settlement is warranted under Rule 23, Ninth Circuit precedent, and the District's Procedural Guidance for Class Action Settlements. The Court then addresses the objections and late opt-outs received before turning to Class Counsel's requests for fees, costs, and service awards.

1 **A. Final Approval of Settlement**

2 **1. Rule 23(e)(1)**

3 Before granting final approval, the Court must ensure that notice was provided “in a
4 reasonable manner to all class members who would be bound by the proposal” and “certify the
5 class for purposes of judgment.” Fed. R. Civ. P. 23(e)(1).

6 The Notice Plan constituted the best notice that is practicable under the circumstances to all
7 Class Members and was sufficiently implemented by the Settlement Administrator, effecting class
8 notice that fully complied with the requirements of Federal Rule of Civil Procedure 23 and due
9 process. *See Bartz v. Anthropic PBC*, No. C 24-05417 WHA, 2025 WL 2961371, at *5 (N.D. Cal.
10 Oct. 17, 2025) (“In sum, the plan provides all the notice due class members even if submissions
11 about some works are never made, as it exhausts reasonable efforts to identify rightsholders while
12 publishing notice broadly.”). The Settlement Administrator compiled contact information from a
13 wide range of sources including submissions by the Authors’ Guild, the Science Fiction Writers of
14 America, the Authors’ Registry, more than 170 publishers, and through a variety of third-party
15 sources, including Bowker ISBN Services, the U.S. Copyright Office, commercial data sources
16 (such as Amazon.com), and targeted web searches. Dkt. No. 619-3 (Declaration of Jennifer M.
17 Keough of JND Legal Administration in Support of Final Approval (“JND Decl.”)) ¶ 20. Class
18 notice included direct mail and email to rightsholders sent to 594,945 potential Class Members
19 associated with 482,374 (99.98 percent) of the Works on the Works List with notice not returned
20 as undeliverable to 506,194 potential Class Members associated with 480,114 (99.5 percent) of the
21 Works on the Works List. *Id.* ¶¶ 28, 79. The Notice campaign also included distribution of press
22 through journalists specializing in the education and publishing industries, publication in
23 newsletters such as *Publishers Weekly*, *The Chronicle of Higher Education*, *Writer’s Digest*, *Poets*
24 *& Writers*, publication in newspapers and magazines such as *The Atlantic*, *The Toronto Star*, *The*
25 *Globe and Mail*, and *La Presse*, and widespread social media campaigns. *Id.* ¶ 32.

26 The findings of the Preliminary Approval Order (Dkt. No. 437) are confirmed and all
27 requirements for maintenance of a class action set forth in Federal Rules of Civil Procedure 23(a)
28

1 and (b)(3) remain satisfied. As set forth in the Preliminary Approval Order, the certified Class is
2 defined as follows:

3 All beneficial or legal copyright owners of the exclusive right to
4 reproduce copies of any book in the versions of LibGen or PiLiMi
5 downloaded by Anthropic as contained on the Works List. “Book”
6 refers to any work possessing an ISBN or ASIN which was registered
7 with the United States Copyright Office within five years of the
8 work’s first publication and which was registered with the United
States Copyright Office before being downloaded by Anthropic, or
within three months of first publication. Excluded are the directors,
officers and employees of Anthropic, personnel of federal agencies,
and district court personnel. For avoidance of doubt, only works
included on the Works List are in the Class.

9 *Bartz*, 2025 WL 2961371, at *1 (emphasis in original).

10 The Court reaffirms the appointment of Andrea Bartz, Inc., Charles Graeber, and MJ + KJ
11 Inc. as Class Representatives. *See id.* at 8; *Bartz v. Anthropic PBC*, 791 F. Supp. 3d 1038, 1065
12 (N.D. Cal. 2025). These Class Representatives have fairly and adequately represented, and will
13 continue to fairly and adequately represent, the interests of the Class. No Objections challenge the
14 Class Representatives’ appointment or adequacy.

15 The Court reaffirms the appointment of Lieff Cabraser Heimann & Bernstein, LLP and
16 Susman Godfrey L.L.P. as counsel representing the Class under Federal Rule of Civil Procedure
17 23(g). *See Bartz*, 2025 WL 2961371, at *8; *Bartz*, 791 F. Supp. 3d at 1065. Class Counsel have
18 fairly and adequately represented, and will continue to fairly and adequately represent, the interests
19 of the Class. The Objections and putative objections suggesting that Class Counsel have not
20 adequately represented the Class, *e.g.*, Dkt. Nos. 425 (Ruden), 609 (Smith), 653 and 659 (Chase),
21 641, 648, and 661 (Pinder), lack merit and are overruled. These objections are addressed in more
22 detail in Section I.D. below.

23 The Court reaffirms the appointment of JND Legal Administration to serve as the
24 Settlement Administrator, *see Bartz*, 2025 WL 2961371, at *8, and finds that JND has thus far
25 fulfilled its duties under the Settlement. The Settlement Administrator’s estimated expenses,
26 approximately \$15 million, *see* Dkt. No. 399 (Supp. Keough Decl.) ¶ 117, are reasonable given
27 the scope and complexity of this Settlement, and the Settlement Administrator shall be paid in
28 accordance with the Settlement Agreement. No Objections challenge JND’s appointment.

1 **2. Rule 23(e)(2)**

2 In order to approve a proposed class action settlement, a court must hold a hearing and find
3 that the proposed settlement is “fair, reasonable, and adequate.” Fed. R. Civ. P. 23(e)(2). In
4 reviewing the proposed settlement, courts analyze whether the settlement is fair, free of collusion,
5 and consistent with plaintiff’s obligations to the class. *See Hanlon v. Chrysler Corp.*, 150 F.3d
6 1011, 1027 (9th Cir. 1998).

7 There are no indicia of fraud or collusion underlying this non-reversionary Settlement.
8 *Bartz*, 2025 WL 2961371, at *3 (“The settlement agreement was negotiated at arm’s length and
9 will likely be found to lack collusion.”). The non-reversionary Settlement Fund of \$1.5 billion
10 provides meaningful relief to the Settlement Class given the reasonable range of Class Members’
11 possible recoveries, especially since further litigation would likely be complex, expensive, lengthy,
12 and risky. The estimated per-work payment of approximately \$3,000 is four times the minimum
13 statutory damages amount for willful infringement. *Id.* (Class members “get a per-work award of
14 about \$3,000, less costs and fees. That is four times the statutory minimum for ordinary
15 infringement, which is also the most common award in copyright cases, of \$750.”) (footnotes
16 omitted). The Settlement’s methods of processing claims and distributing funds to Class Members,
17 JND Decl. ¶¶ 90-99; Dkt. 401-1 (Plan of Allocation and Distribution), are fair and adequate. *Bartz*,
18 2025 WL 2961371, at *4 (preliminarily approving distribution plan). As of April 16, 2026, 91.3
19 percent of the Works List had been claimed, for a total of 440,490 works of the 482,460 Works on
20 the Works List. *See* Dkt. No. 643-1 (Supplemental Declaration of Jennifer M. Keough Regarding
21 Claims Received) ¶ 3.

22 The Settlement’s terms of a proposed award of attorney’s fees, including timing of
23 payments, are reasonable. Attorney’s fees are to be paid based on the benefit received by the Class,
24 and were agreed to be capped at 25 percent (before costs and expenses). Dkt. 363-1 (“Settlement
25 Agreement”) ¶ 8.1 (“Class Counsel has agreed, with no consideration from Defendant, to limit their
26 request for attorneys’ fees to no more than twenty-five percent (25%) of the Settlement Fund, plus
27 unreimbursed costs and expenses.”); *see Bartz*, 2025 WL 2961371, at *3 (“Class counsel will not
28 get an unfair share. The agreement caps any request at 25 percent (before costs and expenses).”).

1 There is no clear sailing provision. Settlement Agreement ¶ 8.1 (“Defendant may challenge the
2 amounts requested.”). Under the Settlement, the timing of fee payments will correlate with
3 Anthropic’s payments into the Settlement Fund. *Id.* (“Class Counsel shall be paid the Fee Award
4 in equal installments proportionate to Anthropic’s payments to the Settlement Fund, as described
5 above, provided that no Fee Award payment shall be paid to Class Counsel from the Settlement
6 Fund until after the Final Approval.”); *see Bartz*, 2025 WL 2961371, at *4 (“[A]t our first hearing,
7 plaintiffs’ counsel committed to getting its award not in step with monies paid into the fund, but in
8 step with monies paid out of it to class members.”).

9 The Ninth Circuit’s *Hanlon* factors also provide a framework for evaluating the fairness of
10 a class action settlement. While the “key *Hanlon* factors are now baked into the text of Rule 23(e),
11 . . . the remaining ones can still be considered for [fairness] analysis.” *In re Cal. Pizza Kitchen*
12 *Data Breach Litig.*, 129 F.4th 667, 674 (9th Cir. 2025). The remaining factors relevant here include
13 the strength of the plaintiffs’ case, the risk of maintaining class action status throughout the trial,
14 the extent of discovery completed and the stage of the proceedings, the experience and views of
15 counsel, and the reaction of the class members to the proposed settlement. *See Churchill Vill.*,
16 *L.L.C. v. Gen. Elec.*, 361 F.3d 566, 575 (9th Cir. 2004).

17 The \$1.5 billion Settlement provides substantial benefits to the Class in light of the novel
18 claims asserted. *Bartz*, 2025 WL 2961371, at *3. Success at trial was not assured, and a loss would
19 have left the Class with no recourse. *Id.* at *2 (“Plaintiffs have a strong case on the downloading,
20 but success is not assured were they to go to trial.”). Additionally, Anthropic’s Rule 23(f) petition
21 was fully briefed in the Ninth Circuit when the Settlement was reached. Dkt. Nos. 241, 276, 284,
22 354. The \$1.5 billion Settlement thus provides substantial benefits relative to these litigation risks.
23 *Id.* at *4. The Settlement is also informed by extensive discovery. *Id.* at *2. “Each side was
24 reasonably well informed of the true universe of facts when they signed the term sheet in August
25 2025.” *Id.* at *2.

26 The Class is represented by “excellent counsel, and it is their judgment that the result
27 reached is fair, adequate, and reasonable.” *Bartz*, 2025 WL 2961371, at *3. The Class’s response
28 was overwhelmingly favorable: the claims rate has reached at least 91.3 percent of Works, *see*

1 Supp. Keough Decl. ¶ 3, while only 350 valid opt outs, spanning just 1,802 Works, were timely
2 submitted, *see* JND Decl. ¶¶ 102, and 54 objections or comments were filed. Some Class Members
3 wrote to the Court to express support for the Settlement. *See* Dkt. Nos. 589 (Bond), 638 (Miles),
4 644 (Mahmud).

5 After full consideration of the factors, the Court finds that the Settlement meets the
6 necessary procedural and substantive requirements under Rule 23(e)(2), and is fair, reasonable, and
7 adequate.

8 **B. Final Approval of the Plan of Distribution & Allocation and Claims Process**

9 The Court finds that the Settlement Administrator effectively administered the notice
10 program pursuant to the Settlement Agreement and the Memorandum Opinion on Preliminary
11 Approval (Dkt. No. 437) and that the Claims process set forth in the Settlement is fair, reasonable,
12 and adequate.

13 The Court approves the Parties' proposal for allocating the Net Settlement Fund, Dkt. No.
14 401-1. The Plan of Allocation and Distribution provides payments to be distributed to valid
15 claimants, who will receive a *pro rata* per-work share, to be divided among copyright owners based
16 on either elected default splits (for non-education works only) or a percentage split determined by
17 contracts or publishing agreements. *Id.* If any funds remain in the Settlement Fund after all Valid
18 Claims are paid, the Parties anticipate a redistribution of the remaining funds to Settlement Class
19 Members unless it is economically infeasible to do so. Subject to Court approval, any final balance
20 will be directed in *cy pres*. Settlement Agreement ¶¶ 1.34, 2.1(g).

21 The Settlement also provides a fair and reasonable process for the resolution of any disputes.
22 Should any disputes arise among valid claimants for a given Work, the Special Master will proceed
23 with all reasonable diligence and will endeavor to quickly resolve all disputes based on the law, for
24 any disputes submitted to the Special Master that cannot be resolved by mutual agreement with the
25 assistance of the Settlement Administrator. Settlement Agreement ¶ 5.1(h); Plan of Allocation
26 ¶ 3(d), 4; Dkt. 501 (appointing Theodore K. Cheng as Special Master). All submissions made to
27 the Special Master in connection with any dispute resolution process – including publishing
28

1 agreements – shall remain confidential and under seal. Plan of Allocation ¶ 3(d). All decisions by
2 the Special Master shall be final and binding without a right of appeal. Dkt. No. 501.

3 **C. Releases**

4 Upon the Effective Date, the Parties shall have fully and irrevocably released and forever
5 discharged one another from any and all Released Claims as to the Works on the Works List.
6 Settlement Agreement ¶¶ 1.29, 3.1, 6.2.g. Accordingly, the Settlement shall terminate the Action.
7 *See id.* ¶ 6.2(f). The Release, however, shall not include any claims related to the enforcement of
8 the Settlement or Protective Order (Dkt. No. 63). The Release does not implicate any Objections
9 seeking to add Works to the Works List, including those of federal employees, those who did not
10 register their works, those who challenge the treatment of group registrations, or those who
11 otherwise take issue with class criteria because “only works included on the Works List are in the
12 Class.” *Bartz*, 2025 WL 2961371, at *1 (emphasis in original). All such objections are therefore
13 overruled. Nor does the Release implicate any Objections taking issue with AI outputs or future
14 conduct. *Id.* at *3 (“Under the settlement, class members will give up all claims they could have
15 brought against Anthropic broadly related to past AI inputs, namely pirating and copying their
16 works in the past up to the point of any AI output. But they do not give up claims about past AI
17 outputs, nor claims of any kind about future conduct (on or after August 25, 2025).”). Such
18 objections are also overruled. The Court addresses additional objections below.

19 **D. Objections to the Settlement**

20 The Court overrules all Objections and putative Objections to the Settlement. *See* Dkt. Nos.
21 425, 438-39, 539-546, 549-552, 564-69, 571-72, 584-585, 588-89, 594-612, 630, 635-642, 645,
22 646-3, 648-655, 676.¹ The Court addresses the Objections by category below, and further holds
23 that any putative Objection filed by a non-class member is stricken because non-class members
24 lack standing to object to the settlement. *In re Cathode Ray Tube (CRT) Antitrust Litig.*, No. 07-

26 ¹ Class Counsel contends that many objections were procedurally improper. Due to delays in the
27 docketing of certain objections and because Class Counsel was aware of other objections but
28 elected not to file them on the record, the Court declines to overrule any of the objections, docketed
as of May 14, 2026, due to procedural deficiencies noted by Class Counsel. Accordingly, the
objections challenging Class Counsel’s assertions about procedural deficiencies, *see, e.g.*, Dkt. No.
661 (Pinder), 662 (Jacobson), are overruled as moot.

CV-05944-JST, 2020 WL 1873554, at *4 (N.D. Cal. Mar. 11, 2020) (striking objections of non-class members because “[u]nder Federal Rule of Civil Procedure 23(e), nonclass members have no standing to object to the settlement of a class action.”) (internal quotations and citations omitted).

1. Notice

The Objections challenging the reach, content, and duration of the Notice Plan are overruled. *See* Dkt. Nos. 551, 569, and 618 (Werner), 571 and 670 (Hampton), 572, 649, and 655 (Chakanga), 599 (Story).

As to reach, 506,194 potential Class Members (accounting for 99.5 percent of the works on the Works List) were sent notice not returned as undeliverable – within the 70-95 percent notice rate that the Federal Judicial Center considers reasonable. JND Decl. ¶ 79; Federal Judicial Center, *Judges’ Class Action Notice and Claims Process Checklist and Plain Language Guide* (2010) at 3. Within the direct notice period, JND disseminated notice through direct mail, email, reminder postcards and emails, print and digital press releases, and a media campaign serving 100 million impressions via social media and paid search. *Id.* ¶¶ 39, 48, 52, 59, 70, 75.

As to content, the Court-approved Notice expressly stated that statutory damages could range from \$200 to \$150,000 per Work, and gave the options of filing a claim and opting out. *See* Class Notice at <https://www.anthropiccopyrightsettlement.com/documents>; Preliminary Approval Order at 7-13; Dkt. No. 490 at 1-2; Dkt. No. 495 at 4. The Objections contending that the notice steered Class Members towards filing claims instead of opting out, and did not adequately disclose the value of Class Members’ claims or the scope of the release are overruled, as the Court reviewed the notice in detail multiple times and gave Class Counsel precise directives to be followed. Dkt. Nos. 437 (Preliminary Approval Order), 490 (Further Order re Class Notice), 495 (Third Order re Class Notice), 496 (Order on Joint Motion to Extend Notice Deadline).

As to duration, the two Objections that argue that the Notice period was too short are overruled. *See* Dkt. Nos. 571 and 650 (Hampton), 572, 649, and 655 (Chakanga). The notice period exceeded the 35-day period specified in this District’s Procedural Guidance for Class Action Settlements. That certain filings remained sealed until January 21, 2026 or that the summary judgment order was not available on the settlement website did not unreasonably shorten the notice

1 period as Hampton and Chakanga claim. The Court’s Class Certification Order, Order on Fair Use,
2 and Preliminary Approval Order were available on the Court’s website and provided ample factual
3 basis for class members to make an informed decision about their rights, notwithstanding the fact
4 that other filings were under seal or were unavailable on the settlement website.

5 **2. Works List and Class Definition**

6 The putative Objections and comments requesting that the Court expand the Class definition
7 and Works List are overruled. *See* Dkt. Nos. 438 (Barrett), 540 (Paolinelli), 541 (Tombs), 542
8 (Leahy), 543, 653, and 659 (Chase), 546 (Dames of Detection, Inc./Johnston), 550, 567, and 634
9 (Grace), 551, 569, and 618 (Werner), 552 (Miller), 565 (Glenn), 572, 649, and 655 (Chakanga),
10 571 and 650 (Hampton), 585 (Ryker), 588 (Schapiro), 602, 630, and 663 (Bishop), 603 (Newton
11 Compton Editori SRL), 604 (Tea Tascabili Degli Editori ASS.SPA), 605 (Casa Editrice Nord SRL),
12 607 (Bollati Boringhieri Editore SRL), 608 (Adriano Salani Editore SRL), 609 (Smith), 610
13 (Longanesi & C. SRL), 611 (Antonio Vallardi Editore SRL), 612 (Garzanti SRL), 637 (Leonard),
14 639 (Watkins Media Ltd. & Collective Ink Ltd./Ifeld), 640 (Johnson), 645 (Houghton) and 641,
15 648 and 661 (Pinder).

16 First, non-class members lack standing, including to make objections regarding works that
17 are not on the Works List and are thus unaffected by the Settlement. *See In re Cathode Ray Tube*
18 *(CRT) Antitrust Litig.*, 2020 WL 1873554, at *4 (internal quotations and citations omitted).

19 Second, the Class is limited only to owners of works listed on the Works List. *See* Dkt. No.
20 437 at 2. In granting preliminary approval, the Court added this qualifier to the class definition:
21 “For avoidance of doubt, only works included on the Works List are in the Class.” Dkt. No. 437 at
22 2. A number of objections or comments raise the concern that there may be works that otherwise
23 meet the class criteria but are excluded from the Works List. However, the Works List serves to
24 avoid any ambiguity in what claims are or are not released by the Settlement, and ensures certainty
25 and finality. Dkt. No. 437 at 3. Equally important, claims arising out of the use of works that are
26 not on the Works List – even if they otherwise meet or appear to meet the other class criteria – are
27 preserved and unaffected by the Settlement. For this reason, objections that certain additional
28 works should have been added to the Works List are overruled – the claims related to those works

1 are preserved, as the Works List serves as a defining record of which works are covered by the
2 Settlement and which works are not.

3 Third, a number of objections take issue with the requirement that any book listed on the
4 Works List must possess a copyright registration number (registered within a certain timeframe, as
5 set out in the Class definition) as well as an ISBN or ASIN for certainty regarding the eligible and
6 included works. These Objections are overruled: “The class certification order recognized that the
7 related limitations let all class works use registrations as evidence of certain facts and pursue
8 statutory damages.” *Bartz*, 2025 WL 2961371, at *1-2. “The class is limited to books for which
9 an ISBN or ASIN exists. From the metadata[,] . . . as well as through commercial metadata
10 associated with ISBNs or ASINs, copyright registrations associated with these works can be
11 identified. For every certificate of registration presented by named plaintiffs, for example, there is
12 an associated ISBN (e.g., Br. Exh. 30). And, because the classes are limited to works registered
13 within five years of first publication, these certificates and the facts therein are presumed valid.”
14 *Bartz*, 791 F. Supp. 3d at 1059.²

15 3. Adequacy of Relief, Claims Rate, and Remaining Objections

16 *Monetary relief.* The Objections contending that the \$1.5 billion in monetary relief that the
17 Settlement provides is too low, including because of the range of potential statutory damages
18 provided under the Copyright Act, are also overruled. *See* Dkt. Nos. 439 (Reding), 544 (Hale), 546
19 (Dames of Detection, Inc./Johnston), 549 (Stewart), 551, 569, 618 (Werner), 564 (Sappington), 566
20 (Burke-Garcia), 571 (Hampton), 572, 649, and 655 (Chakanga), 584 and 593 (Burton), 594 (Choi),
21 595 (DesJardins), 596 and 606 (Lee), 598 (Hyder), 601 (Larson), 609 (Smith), 640 (Johnson), 642
22 (Hootman), 646-3 and 662 (Jacobson), 654 (Srinivasan). These Objections are not grounded in “a
23 realistic assessment of the overall risks and rewards of a trial.” *In re Facebook Biometric Info.*
24

25 ² One Class Member (Dkt. 645 (Houghton)), contends that the class definition and Works List are
26 insufficiently clear because of the presence of multiple “Unique IDs” – a claim filing number
27 generated by the Settlement Administrator solely for purposes of administering the settlement.
28 Houghton’s objection is overruled. Class Counsel explains that the Works List employs a unique,
non-duplicative identifier (the copyright registration number) leading to a definitive count of
482,460 Works, and that the Settlement Administrator confirmed that it received two timely claim
submissions from Houghton, each with a different Unique ID.

1 *Priv. Litig.*, 522 F.Supp.3d 617, 626 (N.D. Cal. 2021), *aff'd*, No. 21-15553, 2022 WL 822923 (9th
2 Cir. Mar. 17, 2022). Even if successful at trial, there remained risk that post-trial proceedings
3 would jeopardize the Class’s recovery and cause major delays. *Id.* at 628 (“[A] multi-billion dollar
4 verdict could create its own major risk for the class. A number of courts have commented on the
5 potential for a due process problem when statutory damages are pursued by a large class, such as
6 the one here.”). “The settlement thus provides value in prompt closure. It avoids a prolonged,
7 complex, and expensive trial – and all ensuing appeals.” Preliminary Approval Order at 5. These
8 Objections are therefore overruled.³

9 *Claims Rate.* Objector Story contends the claims rate does “not bode well for full
10 participation” in the Settlement. Dkt. No. 599 at 6. The claims rate had reached 91.3 percent as of
11 April 16, 2026. Supp. Keough Decl. ¶ 3. This Objection is therefore overruled. *Sierra v. City of*
12 *New York*, No. 20CV10291CMGWG, 2023 WL 7016348, at *2 (S.D.N.Y. Oct. 25, 2023) (86%
13 claims rate “magnitudes above the average for class action cases”) (emphasis added).

14 *Non-Monetary Relief.* Several Objectors request additional non-monetary relief, including
15 novel licensing schemes (Dkt. No. 599 (Story), Dkt. No. 566 (Burke-Garcia)), source attribution in
16 Anthropic’s outputs (Dkt. No. 549 (Story)), deletion of AI models (Dkt. No. 544 (Hale)), and
17 abolishing use of scanned books for training (Dkt. No. 595 (DesJardins)); *see also* Dkt. Nos. 425
18 (Ruden), 584 and 593 (Burton), 599 (Story), 600 (Sills), 603 (N.C. Editori), 604 (T.T.D. Editori),
19 605 (Nord), 607 (Editore), 608 (A.S. Editore), 610 (Longanesi), 611 (A.V. Editore), 612 (Garzanti),
20 646-3 and 662 (Jacobson). These requests go beyond the scope of this Settlement and the remedies
21 attainable thereunder. The Settlement provides forward-looking protections, including the
22 destruction of Class Members’ pirated Works. Settlement Agreement ¶ 2.2. “Anthropic represents
23 that neither the LibGen or PiLiMi datasets, nor any portions of those datasets, were in the training
24 corpus of any of its commercially released large language models[,]” and the Settlement does not
25

26 ³ One Objection also argues that the release is “overly narrow in scope” because Anthropic “simply
27 gets release from liability for past conduct.” Dkt. No. 599 (Story) at 3. But a narrow release
28 **benefits** the Class, and thus does not counsel against final approval. Class Members retain their
rights to sue for future misconduct. *See* Settlement Agreement ¶ 1.29. The past-only release does
not apply to any output claims, *see Bartz*, 2025 WL 2961371, at *3, narrowing it even further.

1 release claims for future harm or claims “based on the output of AI models.” *Id.* ¶¶ 1.29, 3.1. These
2 Objections are thus overruled.

3 *Request for Trial.* One Objection argues the Court should compel the Parties to go to trial.
4 Dkt. No. 425 (Ruden). The Settlement accounts for the substantial risks of continued litigation,
5 including the possibility of an adverse ruling, years of appeals, and changes in a defendant’s
6 financial position. “That certain Class Members evaluate the risks differently, or would prefer to
7 go to trial despite those risks, does not prevent the Court from granting final approval to the
8 Settlement.” *Perkins v. LinkedIn Corp.*, No. 13-CV-04303-LHK, 2016 WL 613255, at *6 (N.D.
9 Cal. Feb. 16, 2016); *see also Allen v. Bedolla*, 787 F.3d 1218, 1223 (9th Cir. 2015). That Objection
10 is overruled.

11 *Plan of Allocation.* Two Objections challenge the Plan of Allocation and Distribution. *See*
12 Dkt. Nos. 425 (Ruden), 602 (Bishop). Specifically, they argue that the dispute resolution process
13 is inadequate for failing to include authors’ agents and that the appointment of Mr. Cheng as Special
14 Master will favor publishers. The Court carefully reviewed Special Master Cheng’s credentials
15 and his certification of no conflicts prior to this appointment. Dkt. No. 501. The Objections to his
16 appointment are thus overruled.

17 **E. Opt-Outs**

18 The Court has reviewed the list of Class Members who have timely opted out of the
19 Settlement as set forth in the JND Declaration ¶ 102 and Ex. J. The Court also acknowledges that
20 there were multiple opt-out requests submitted after the deadline. *See* Dkt. Nos. 635, 636, 676. As
21 of March 19, 2026, there were five opt-out requests submitted after the deadline.⁴ *See* JND Decl.
22 ¶ 102. Donald S. Passman, an entertainment lawyer based in Beverly Hills, filed an additional late
23 opt-out request on June 30, 2026. Dkt. No. 676.

24
25
26
27
28 ⁴ In a second supplemental proposed order, Dkt. No. 672, Class Counsel represents that since
March 19, an additional four opt-out requests were submitted, bringing the total to nine.

1 As to three of the five opt-out requests noted in JND's declaration, and the four submitted
2 after the date of that declaration,⁵ there has been no showing of excusable neglect as to why these
3 untimely opt-out requests should be honored, and they are therefore disallowed. *See In re*
4 *Volkswagen "Clean Diesel" Mktg., Sales Pracs., & Prods. Liab. Litig.*, 895 F.3d 597, 618 (9th Cir.
5 2018) ("A court may, in cases of 'excusable neglect,' extend the time in which a class member may
6 opt out of a settlement.").

7 As to Passman's recently filed opt-out request, the Court does not find excusable neglect
8 because the settlement administrator declares that she mailed Passman a long form notice and buck
9 slip on December 1, 2025, a reminder postcard notice on March 9, 2026, and a non-filing claimant
10 notice on April 29, 2026, to Passman's known address.⁶ Dkt. No. 678-1 ¶ 4. None of these mailings
11 were returned as undeliverable. *Id.* Given that the settlement administrator implemented the best
12 practicable notice, Passman received at least one notice from the settlement administrator, dated
13 April 29, 2026, and stamped "received" on May 13, 2026, Dkt. No. 676-3, and had reached out to
14 his publisher asking about the settlement on April 17, 2026, Dkt. No. 676-2, and because permitting
15 an opt-out request submitted months after the opt-out deadline will undermine the finality of the
16 settlement, the Court does not find that Passman has shown excusable neglect for waiting until June
17 30, 2026, to file an opt-out request. It is therefore disallowed.

18 Class Members Esquivel and Castells, however, have shown excusable neglect. Castells
19 declares that he never received the class notice, that he first learned about the class action from his
20 aunt and co-author, Esquivel, spoke to counsel on February 12, 2026, and submitted his opt-out
21 notice to the claims administrator on February 16, 2026. Dkt. No. 636. Given that the deadline to
22 opt-out expired on February 9, 2026, Dkt. No. 583, the delay of a few days under the circumstances
23 of this case do not warrant disallowing Castells' late opt-out request.

24
25 _____
26 ⁵ In the alternative, Passman conditionally objects to the settlement. Dkt. No. 676. His objection
27 is overruled as untimely. The deadline to object was February 9, 2026, Dkt. No. 535, and as
discussed above, Passman has not demonstrated grounds justifying his failure to act by that
deadline. *See* Dkt. No. 676.

28 ⁶ The address matches the one on Passman's California State Bar profile. *See* Dkt. No. 678 at 8-
9.

1 Esquivel has likewise demonstrated excusable neglect. She learned about the settlement
2 from a friend on February 10, 2026. Dkt. No. 635. She, and her nephew, Castells, spoke with a
3 lawyer on February 12, 2026, and due to suffering a stroke, Esquivel was not able to submit her
4 opt-out notice until March 30, 2026. *Id.* Additionally, she lives in Mexico, speaks Spanish, was
5 not able to understand the class notice, and asserts no Spanish translation was provided. *Id.*

6 Accordingly, the untimely opt-out requests are disallowed, except for those of Esquivel and
7 Castells.

8 **F. Other Settlement Matters**

9 The Action and all Released Claims asserted against the Parties are settled and dismissed
10 with prejudice. Execution of the Settlement shall proceed as set forth in the Settlement Agreement.

11 The Parties shall file a post-distribution accounting in accordance with the Northern
12 District's Procedural Guidance for Class Action Settlements within 21 days after the substantial
13 completion of the Net Settlement Fund's distribution ("Post-Distribution Accounting"). In addition
14 to the information contained in the Guidance, the Post-Distribution Accounting must discuss any
15 significant or recurring concerns communicated by class members to the Settlement Administrator
16 or counsel since final approval, any other issues in settlement administration since final approval,
17 and how any concerns or issues were resolved. The Parties may request a continuance of the
18 deadline for the Post-Distribution Accounting upon a showing of good cause.

19 The Court reserves jurisdiction over this action and each Party to the Settlement with respect
20 to the interpretation and implementation of the Settlement for all purposes, including enforcement
21 of any of the terms thereof at the insistence of any Party and resolution of any disputes that may
22 arise relating to the implementation of the Settlement or this Order.

23 **II. Attorney's Fees, Expenses, and Service Awards**

24 Plaintiffs move for (1) 12.5 percent – \$187,500,000 – of the \$1.5 billion Settlement Fund
25 in attorney's fees to Class Counsel;⁷ (2) reimbursement of \$2,975,197.46 in litigation expenses;
26 (3) a cost reserve of \$18,220,000 for future expenses, including payment to the Settlement
27

28 ⁷ This is reduced from the original request in Class Counsel's opening brief of 20% –
\$300,000,000 – of the Settlement Fund. *Compare* Dkt. No. 505 *with* Dkt. No. 620.

1 Administrator; and (4) service awards of \$50,000 to each Class Representative. *See* Dkt. No. 620
2 (Reply Brief in Support of Fee Application). The Court addresses each request in turn.

3 **A. Attorney’s Fees**

4 “District courts have discretion to choose which method they use to calculate fees, but their
5 discretion must be exercised to reach a reasonable result.” *In re Optical Disk Drive Prods. Antitrust*
6 *Litig.*, 959 F.3d 922, 929 (9th Cir. 2020) (citing *In re Bluetooth Headset Prods. Liab. Litig.*, 654
7 F.3d 935, 942 (9th Cir. 2011)). To guard against an unreasonable result, the Ninth Circuit
8 encourages district courts to “cross-check their calculations against a second method.” *Id.* at 944-
9 45 (citing *Vizcaino v. Microsoft Corp.*, 290 F.3d 1043, 1050-51 (9th Cir. 2002); *In re Gen. Motors*
10 *Corp. Pick-Up Truck Fuel Tank Prods. Liab. Litig.*, 55 F.3d 768, 821 n.40 (3d Cir. 1995)).

11 Where the percentage-of-recovery method is employed, it is well established that 25% of a
12 common fund is a presumptively reasonable amount of attorney’s fees. *See In re Bluetooth*, 654
13 F.3d at 942 (“[C]ourts typically calculate 25% of the fund as the ‘benchmark’ for a reasonable fee
14 award, providing adequate explanation in the record of any ‘special circumstances’ justifying a
15 departure.”). The Ninth Circuit, however, has said that “a twenty-five percent benchmark may be
16 ‘of little assistance’ in megafund cases[.]” *In re Optical Disk Drive Prods. Antitrust Litig.*, 959
17 F.3d at 931 (quoting *Vizcaino*, 290 F.3d at 1048). “[W]here awarding 25% of a ‘megafund’ would
18 yield windfall profits for class counsel in light of the hours spent on the case, courts should adjust
19 the benchmark percentage or employ the lodestar method instead.” *In re Bluetooth*, 654 F.3d at
20 942 (citations omitted). “Selection of the benchmark or any other rate must be supported by
21 findings that take into account all of the circumstances of the case.” *Vizcaino*, 290 F.3d at 1048.

22 The requested fee award of 12.5% – \$187,500,000 – of the Settlement Fund would impute
23 a multiplier of 6.92 on Class Counsel’s estimated lodestar of \$27,082,963, (Dkt. No. 619-1
24 ¶¶ 35, 58), far outside the range of multipliers found to be reasonable in mega fund cases within
25 this Circuit. *See, e.g., In re Facebook, Inc. Consumer Priv. User Profile Litig.*, No. 3:18-md-02843-
26 VC, 2023 WL 8445812, at *2 (N.D. Cal. Oct. 10, 2023) (awarding 25% of the \$750 million
27 settlement fund, which yielded a 1.99 multiplier); *In re High-Tech Emp. Antitrust Litig.*, No. 11-
28 CV-02509-LHK, 2015 WL 5158730, at *11 (N.D. Cal. Sept. 2, 2015) (granting Class Counsel 2.5

multiplier on their lodestar, for a total fee award equal to about 9.8% of the \$415 million settlement). Because applying the percentage-of-recovery method would result in a windfall for Class Counsel in light of the hours spent on this case and the size of the fund,⁸ the Court elects to apply the lodestar method to determine the appropriate amount of fees. *See In re High-Tech Emp. Antitrust Litig.*, 2015 WL 5158730, at *7 (applying lodestar method where the total multiplier plaintiff’s counsel requested was 4.8, which “in the Court’s judgment, [was] simply too high for a settlement of this size and would result in windfall profits for class counsel in light of the hours spent on the case”) (internal quotations and citations omitted).

“Under the lodestar method, the district court must calculate the lodestar figure based on the number of hours reasonably expended on the litigation.” *In re Bluetooth*, 654 F.3d at 944. Class Counsel’s actual time expenditures, 22,490.6 hours, *see* Dkt. Nos. 620 at 8 (citing Dkt. Nos. 505-1 ¶ 3, 17 & n.1 (reporting SG’s total hours as 9,341.6 from inception to December 1, 2025 and projected hours as 5,356 through February 2027), 505-3 ¶ 18 (reporting LCHB’s total hours as 13,149.10 as of December 1, 2025 and projected hours as 6,535 through February 2027), when combined with projected time expenditures of 11,891 hours, for a total of 34,381.60 hours in actual and projected time, are reasonable. Class Counsel’s hourly rates, ranging from \$2,250 to \$550, Dkt. Nos. 505-1 ¶¶ 25-26, 505-3 ¶ 24, are also reasonable. The charged rates fit within the prevailing rates in this District, and the qualification and experience of counsel. Accordingly, the claimed total lodestar of \$27,082,963, Dkt. No. 619-1 ¶ 58, is presumptively reasonable.⁹

⁸ That Class Counsel managed their time efficiently or increased the size of the Class by engaging in discovery that uncovered the full scope of the misconduct alleged in this case, as is expected of any adequate class counsel, would not make a fee award based on the percentage of recovery method any less of a windfall given the size of the settlement. Under the circumstances of this case, the lodestar method is more suited to determine a fair and reasonable fee award, as “[a] positive multiplier rewards . . . Class Counsel for its efforts in achieving a swift settlement, while recognizing that counsel’s efficiency actually reduced its lodestar.” *In re Volkswagen “Clean Diesel” Mktg., Sales Pracs., & Prods. Liab. Litig.*, No. 2672 CRB (JSC), 2017 WL 1352859, at *6 (N.D. Cal. Apr. 12, 2017).

⁹ Though the Court finds Class Counsel’s total lodestar reasonable, the Court will take an additional step to protect the interests of the Class. The Court notes that Class Counsel have agreed that 10% of the fee amount will be withheld until the Parties file a post-distribution accounting in accordance with this District’s Procedural Guidance for Class Action Settlements. To the extent that Class Counsel’s actual time incurred (using 2025 rates) is less than the amount

1 The Court may “adjust” the lodestar figures “upward or downward by an appropriate
2 positive or negative multiplier reflecting a host of ‘reasonableness’ factors, ‘including the quality
3 of representation, the benefit obtained for the class, the complexity and novelty of the issues
4 presented, and the risk of nonpayment.’ ” *In re Bluetooth*, 654 F.3d at 941-42 (quoting *Hanlon v.*
5 *Chrysler Corp.*, 150 F.3d 1011, 1029 (9th Cir. 1998)). “Foremost among these considerations,
6 however, is the benefit obtained for the class.” *Id.* at 942.

7 ***Quality of the Representation.*** Class Counsel “are experienced in class actions, including
8 copyright.” *Bartz*, 791 F. Supp. 3d at 1054. These attorneys “represent related parties in co-
9 pending litigation against other AI companies[,]” and “do not hesitate to go to trial[.]” *Id.* The
10 Class received quality representation from experienced attorneys.

11 ***Benefit Obtained for the Class.*** As the Court noted in its Opinion on Preliminary Approval,
12 the Settlement is “the largest copyright class action settlement in history.” *Bartz*, 2025 WL
13 2961371, at *3. The size of the \$1.5 billion non-reversionary settlement gives class members “a
14 per-work award of about \$3,000, less costs and fees.” *Id.* That per-work amount is “an order of
15 magnitude more than the maximum proposed for books in the *Google Books* settlement that was
16 rejected for releasing future claims.” *Id.* (citing *Authors Guild v. Google, Inc.*, 770 F. Supp. 2d
17 666, 672 (S.D.N.Y. 2011)). It is also more than “four times the statutory minimum for ordinary
18 infringement, which is also the most common award in copyright cases, of \$750” and more than
19 “fifteen times the statutory minimum for innocent infringement of \$200.” *Id.* (footnote and citation
20 omitted).

21 Class Counsel also secured non-monetary relief. The Settlement requires Anthropic to
22 “destroy all the original files of works torrented/downloaded from Library Genesis or Pirate Library
23 Mirror, and any copies that originate from the torrented copies,” subject to certain legal preservation
24 obligations. Settlement Agreement ¶ 2.2. This destruction is a benefit for Class Members.

25 ***Complexity and Novelty of the Issues Presented; Risk of Non-Payment.*** Class Counsel
26 litigated complex, novel issues in an uncertain area of the law, where they faced a real risk of

27 _____
28 used to calculate the lodestar (\$27,082,963), the Court hereby orders Class Counsel to report that
in the post-distribution accounting. If it is less than that amount, the Court may reduce Class
Counsel’s fee award by some or all of the holdback amount, at the Court’s discretion.

1 adverse rulings and zero recovery in this first of its kind litigation. Class Counsel also litigated
2 against experienced attorneys from major law firms.

3 In light of the above factors, the Court finds that a multiplier of 3.75, for a total fee award
4 of \$101,561,111 is reasonable. *See Kang v. Wells Fargo Bank, N.A.*, 2021 WL 5826230, at *17
5 (N.D. Cal. Dec. 8, 2021) (citing *Vizcaino*, 290 F.3d at 1051 n.6) (observing that the “Ninth Circuit
6 has recognized that multipliers generally range from 1 to 4”); *cf. Gutierrez v. Wells Fargo Bank,*
7 *N.A.*, No. C 07-05923 WHA, 2015 WL 2438274, at *7 (N.D. Cal. May 21, 2015) (awarding 5.5
8 multiplier where, among other things, class counsel tried the case and defended against two class
9 appeals). This amounts to nearly 6.8% of the settlement fund, which is a reasonable percentage
10 given a fund of this size and confirms the appropriateness of the lodestar awarded.¹⁰ *See, e.g.,*
11 *Vizcaino*, 290 F.3d at 1052 (listing attorney fee awards in common fund cases ranging fees between
12 2.8 to forty percent of the common fund).¹¹

13 1. **Objections to Fees**

14 In light of the reduced award of fees, the Objections related to Class Counsel’s fee request
15 are overruled. *See* Dkt. Nos. 545 (Golomski), 551, 569, and 618 (Werner), 564 (Sappington), 589
16 (Bond), 599 (Story), 600 (Sills), 609 (Smith), 638 (Miles). Moreover, “[t]he Objections lack
17 appreciation for the jurisprudence that governs the economics of representative actions, which
18 allow for the vindication of aggregated interests that would otherwise go unaddressed.” *Katz-*
19 *Lacabe v. Oracle Am., Inc.*, No. 3:22-CV-04792-RS, 2024 WL 4804974, at *5 (N.D. Cal. Nov. 15,
20 2024), *appeal dismissed*, No. 24-7650, 2025 WL 1703624 (9th Cir. Apr. 3, 2025). Nor do they
21 appropriately account for the risk that Class Counsel assumed. The gravamen of these Objections
22 is that Class Counsel could have obtained higher monetary award for the Class. However, aside

23 ¹⁰ The Court does not award any fees to non-appointed attorneys who claim to have performed
24 work for the benefit of the Class for the reasons previously explained by the Court. Dkt. No. 515
25 (Judge’s Memo. and Order Re Petitions for Fees and Costs). Any objections challenging an
award to those lawyers are thus overruled as moot.

26 ¹¹ In *In re Coll. Athlete NIL Litig.*, No. 20-CV-03919 CW, 2025 WL 3171376, at *1 (N.D. Cal.
27 July 11, 2025), the district court awarded class counsel 20% of the \$1.976 billion settlement fund
28 and exercised its discretion not to conduct a lodestar cross-check. At the final approval hearing,
Class Counsel represented that the multiplier in that case was 3.3 or 3.4, in line with the
multiplier awarded in this case.

1 from pointing to the wide range of statutory damages that are possible under the Copyright Act, the
2 Objections do not convincingly explain why the present Settlement is insufficient given the risks
3 attendant to continued litigation: “[A] loss would result in no recourse, if there was no copying or
4 only fair use. All the district court’s rulings and verdict could get appealed.” Preliminary Approval
5 Order at 5.

6 **B. Litigation Expenses**

7 Class counsel is entitled to reimbursement of reasonable out-of-pocket expenses. Fed. R.
8 Civ. P. 23(h); *see Roman v. Jan-Pro Franchising Int’l, Inc.*, No. 3:16-cv-05961-WHA, 2024 WL
9 2412387, at *5 (N.D. Cal. May 23, 2024).

10 Class Counsel have incurred \$2,635,197.46 in unreimbursed litigation expenses,¹²
11 including costs related to experts, discovery, mediation, legal research, filing fees, document
12 hosting services, copying and mailing, and other customary litigation expenses. Dkt. No. 619-1
13 ¶¶ 64-75 (describing the cost fund and detailing the litigation expenses that Class Counsel incurred
14 by category). Class Counsel further anticipate an additional \$18,220,000 in expenses related to the
15 administration and finalization of the settlement. *Id.* ¶ 78.

16 With the exception of \$340,000 contributed by non-appointed counsel, the expenses for
17 which Class Counsel seek reimbursement are “typically [] billed to paying clients in non-
18 contingency matters” and are recoverable. *See Katz-Lacabe*, 2024 WL 4804974, at *5 (internal
19 quotations and citation omitted). The request for reimbursement is granted in the amount of
20 \$2,635,197.46.

21 The Objections to Class Counsel’s expenses, Dkt. Nos. 20 (Golomski), 46 (Smith), do not
22 explain why any of Class Counsel’s past or anticipated expenses are unreasonable or why Class
23 Counsel would not be entitled to reimbursement of such expenses. Class Counsel’s past costs and
24 anticipated future expenses to the Settlement Administrator and Special Master are reasonable. *See*
25 *In re Anthem, Inc. Data Breach Litig.*, No. 15-MD-02617-LHK, 2018 WL 3960068, at *8 (N.D.
26

27 _____
28 ¹² Class Counsel seek to recover a total of \$2,975,197.46 in unreimbursed litigation expenses,
which includes a \$340,000 contribution to the litigation fund by non-appointed counsel. Dkt. No.
619-1 ¶¶ 59-76. This amount is disallowed.

1 Cal. Aug. 17, 2018) (granting final approval where administration reached \$23 million, or 20
2 percent of the \$115 million settlement). The Objections to expenses are therefore overruled.

3 **C. Service Awards for Class Representatives**

4 Service awards “are fairly typical in class action cases.” *Rodriguez v. W. Publ’g Corp.*, 563
5 F.3d 948, 958 (9th Cir. 2009) (emphasis omitted) (citing 4 William B. Rubenstein et al., *Newberg*
6 *on Class Actions* § 11:38 (4th ed. 2008)). While such “awards are discretionary,” they are
7 commonly issued because of the many benefits they provide: “compensat[ing] class representatives
8 for work done on behalf of the class”; making “up for financial or reputational risk undertaken in
9 bringing the action”; and recognizing class representatives’ “willingness to act as a private attorney
10 general.” *Id.* at 958-59.

11 The requested service awards of \$50,000 for the three Settlement Class Representatives –
12 Andrea Bartz, Inc., Charles Graeber, and MJ+KJ, Inc. – are unreasonable. While the Court
13 recognizes that a high service award may be warranted in cases where class members face risk of
14 retaliation, *see, e.g., In re High-Tech Emp. Antitrust Litig.*, No. 11-CV-02509-LHK, 2015 WL
15 5158730, at *18 (N.D. Cal. Sept. 2, 2015) (authorizing \$80,000 and \$120,000 awards in case with
16 \$415,000,000 settlement fund where “each of the class representatives risked significant workplace
17 retaliation by serving as a named plaintiff in this high-profile lawsuit”), no such showing has been
18 made here.

19 However, consistent with the Court’s Procedural Guidance for Class Action Settlements,
20 the Class Representatives submitted evidence of the value provided by the proposed awardees, the
21 time they spent on the litigation, and other justifications for a reduced service award. *See* Dkt. No.
22 619-2 (Declaration of Class Representatives In Support of Final Approval); *see also* Dkt. Nos. 385
23 (Bartz Decl.), 386 (Graeber Decl.), 387 (Johnson Decl.). This evidence confirms that all three Class
24 Representatives expended considerable time and effort to assist in the investigation and litigation
25 of this case. *See id.* In particular, all three Class Representatives (i) reviewed filings; (ii) responded
26 to extensive discovery requests; (iii) prepared for and sat for depositions; (iv) traveled to San
27 Francisco for multiple hearings; (v) participated heavily in the negotiation of the Settlement,
28 including the plan of allocation; (vi) submitted declarations in support of preliminary approval;

(vii) reviewed and contributed edits to the notice materials and claim form; and (viii) worked to implement the Settlement by speaking to other authors and stakeholders. *See* Dkt. Nos. 385-87. All three Class Representatives were committed to ensuring that the Settlement was fair to class members, and that class members could understand it, and treated their responsibilities to the Class as their jobs. *See id.* Service awards to the Class Representatives, in a reduced amount of \$15,000 are well warranted in these circumstances. Further, no Objections challenge the requested service awards.¹³

Therefore, the Court grants \$15,000 to each of the three Class Representatives, for a total of \$45,000.

III. CONCLUSION

The Court concludes that final approval is warranted under the Rule 23(e) factors, Ninth Circuit precedent, and this District’s Procedural Guidance for Class Action Settlements. The Court finds that under Rule 23(e)(2), (i) the Class Representatives and Class Counsel have adequately represented the Class; (ii) the proposed Settlement was negotiated at arm’s length; (iii) the relief provided for the Class is adequate; and (iv) the proposed Settlement treats Class Members equitably relative to each other.

The Court further finds that all relevant factors are satisfied, including “(1) the strength of the plaintiffs’ case; (2) the risk, expense, complexity, and likely duration of further litigation; (3) the risk of maintaining class action status throughout the trial; (4) the amount offered in settlement; (5) the extent of discovery completed and the stage of the proceedings; (6) the experience and views of counsel . . . ; and [(7)] the reaction of the class members to the proposed settlement.” *Churchill Vill.*, 361 F.3d at 575.

Finally, the Court has considered Class Members’ response to the proposed Settlement, Class Counsel’s request for attorney’s fees, costs, and service awards pursuant to the Northern District’s Procedural Guidance for Class Action Settlements, and finds that final approval is warranted in light thereof.

¹³ One Objection supports the requested service awards in part. *See* Dkt. No. 601 (Larson) at 2 (“Lead plaintiffs should be entitled to \$50,000.00, as their cases serve as examples for the class, however the class members only receive the \$3,000.00, not the additional \$50,000.00[.]”).

1 Having considered the above, the Court finds that the proposed Settlement is fair, free of
2 collusion, and consistent with Plaintiffs' fiduciary obligations to the Class. The Motion for Final
3 Approval is hereby **GRANTED**. Upon the entry of this order and the Effective Date under the
4 Settlement Agreement, all settling Parties and the Class shall be bound by the Settlement
5 Agreement and this Order. The Settlement Administrator and Special Master shall have final
6 authority to determine the share of the Net Settlement amount to be allocated to each Class Member
7 pursuant to the plan of allocation and distribution approved by the Court.

8 The Court **DISALLOWS** the opt-outs submitted after the February 9, 2026 deadline, with
9 the exception of Esquivel's and Castells's untimely opt-out requests, which are **ALLOWED** based
10 on their showings of excusable neglect.

11 The Court **GRANTS** Plaintiffs' Motion for: (1) attorney's fees to Class Counsel in the
12 amount of \$101,561,111, nearly 6.8% percent of the non-reversionary Settlement Fund, consisting
13 of \$1.5 billion plus interest paid by Anthropic or accrued in the Settlement Fund, (2) reimbursement
14 of expenses totaling \$2,635,197.46 and a cost reserve of \$18,220,000 in anticipated expenses, and
15 (3) service awards of \$15,000 for each of the three Settlement Class Representatives (totaling
16 \$45,000). The Court will withhold 10 percent of Class Counsel's fee award until the Parties file a
17 post-distribution accounting in accordance with this District's Procedural Guidance for Class
18 Action Settlements. To the extent the final lodestar is less than Class Counsel's present projection
19 of the final lodestar, the Court retains discretion to reduce Class Counsel's fee award from that
20 holdback to account for the impact of any difference between the projected and actual final lodestar.
21 No expenses shall be paid from the cost reserve without prior Court approval. Any request for
22 Court approval must include: (i) a detailed breakdown of the costs that have been incurred for which
23 Class Counsel seek reimbursement from the cost reserve; (ii) the reason and purpose the costs were
24 incurred; and (iii) an attestation that states costs were reasonable in the context of settlement and
25 claims administration, with case citations or declarations providing appropriate comparators.

26 ///

27 ///

28 ///

1 Final judgment is hereby **ENTERED** in accordance with the terms of the Settlement
2 Agreement and this Order. Without affecting the finality of this Order and Judgment, the Court
3 reserves jurisdiction over the implementation of the Settlement, including enforcement and
4 administration of the Settlement Agreement. This document constitutes a final judgment (and a
5 separate document constituting the judgment) pursuant to Federal Rules of Civil Procedure 54 and
6 58.

7 The above-captioned Action is **DISMISSED** in its entirety with prejudice. The Clerk
8 shall close the file in this matter.

9 **IT IS SO ORDERED.**

10 Dated: July 20, 2026

11 
12 **ARACELI MARTÍNEZ-OLGUÍN**
13 **United States District Judge**